

U.S. Application No.: /

International Application No.: PCT/JP2003/012308

Docket No.: JCLA16514

Date: March 28, 2005

**10/529376**

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**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371**

International Application No. : PCT/JP2003/012308  
International Filing Date : September 25, 2003  
Priority Date Claimed : September 27, 2002 and November 27, 2002  
Title of Invention : METHOD OF FORMING A PERIODIC STRUCTURE AND SURFACE TREATMENT  
Applicant(s) for DO/EO/US : HIROSHI SAWADA ; KOU KUROSAWA ;

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☒ **This express requests to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21).**
4. ☒ The U.S. has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 USC 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 USC 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☐ An Oath of Declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A Preliminary Amendment.
14. ☐ An Application Data Sheet under 37 CR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

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18. ( ) A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ( ) A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. (X) Other items or information:  
 a. (X) International Search Report (Form PCT/ISA/210).  
 b. (X) Return post card.
21. (X) The following fees are submitted:

	FEES
Basic national fee	\$ 300
Examination fee	\$ 500
Search fee	\$ 200
<b>TOTAL OF ABOVE CALCULATIONS</b>	<b>\$ 1000</b>

( ) Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

## AS FILED

FOR	NUMBER FILED		NUMBER EXTRA		RATE	FEE
Total Sheets	70 - 100	=	0	×	\$ 250	\$ 0
Total Claims	17 - 20	=	0	×	\$ 50	\$ 0
Independent Claims	2 - 3	=	0	×	\$ 200	\$ 0
If application contains any multiple dependent claims(s), then add					\$ 360	\$ 0
<b>TOTAL OF ABOVE CALCULATIONS</b>						<b>\$ 1000</b>

(X) Applicant claims small entity status. See 37 CFR 1.27.  
 The fee indicated above are reduced by ½.

<b>SUBTOTAL</b>						<b>\$ 1000</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f))						\$ 0
<b>TOTAL NATIONAL FEE</b>						<b>\$ 1000</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31)						\$ 0
<b>TOTAL FEE ENCLOSED</b>						<b>\$ 1000</b>

JC17 Rec'd PCT/PTO 28 MAR 2005

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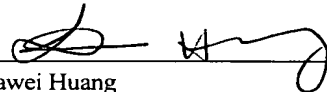
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- (X) A check in the amount of \$ 1000 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of the above national phase application, or credit any overpayment to Deposit Account No. 50-0710 (Order No. JCLA16514). A duplicate copy of this transmittal is enclosed.

PLEASE SEND ALL CORRESPONDENCE TO:  
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

**Docket No.** : JCLA16514  
**Applicant(s)** : HIROSHI SAWADA ;  
KOU KUROSAWA ;  
**For** : METHOD OF FORMING A PERIODIC STRUCTURE AND  
SURFACE TREATMENT  
**"Express Mail"** : EV 493082371 US  
**Mailing Label No.**  
**Date of Deposit** : March 28, 2005

I hereby certify that the accompanying

Transmittal in duplicate; A copy of the International Application  
PCT/JP2003/012308; English translation of Specification in 50 pages;  
20 sheets of drawings; Preliminary Amendment; International Search  
Report (Form PCT/IB/210); Check for national phase filing fee; Return  
prepaid postcard

are being deposited with the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Jiawei Huang